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PTO/SB/08a(08/03)

Substitute for form 1449A/PTO				Application Number	10/699,314
				Filing Date	10/31/2003
				First Named Inventor	Bruce M. Horton
				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket No.	NYMX0001
(Use as many sheets as necessary)					
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher, city and/or country where published	T ²
/P.S./	1	NEW YORK MERCANTILE EXCHANGE, "Exchange Announces Launch Plans for Monthly, Weekly, and Daily Electricity Futures Contracts," March 6, 2003, pp. 1-9, p. 18.	
/P.S./	2	"Proposed NYMEX PJM Calendar-Month Daily-LMP Swap (PJM Interconnection, LLC) Contract, pp. 9-14	
/P.S./	3	S. STOFT, et al., "Primer on Electricity Futures and Other Derivatives," January 1998, pp. i-xvi, 1-41	

EXAMINER SIGNATURE	/Paul Shumate/	DATE CONSIDERED	12/10/2007
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